

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#5/a  
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## REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) & (f)

(No Filing Fee or Oath/Declaration)  
(Do NOT use for Provisional or PCT Applications)  
Use for Design or Utility Applications

**PATENT  
APPLICATION**

## RULE 53(f) NO DECLARATION

Assistant Commissioner of Patents  
and Trademarks  
Washington, DC 20231

Atty. Dkt.

PM 265648  
M#

RM4 to  
Client Ref. #

Date:

January 27, 2000

Sir:

1. This is a Request for filing a new Patent Application (☐ Design ☒ Utility) entitled:

2. (Complete) Title:

RELIANCE MANAGER FOR ELECTRONIC TRANSACTION SYSTEM

without a filing fee or Oath/Declaration but for which is enclosed the following:

3. ☒ Abstract 1 page(s).

4. 126 Pages of Specification (only spec. and claims); 5. ☐ Specification in non-English language

6. 28 Numbered claim(s); and

7. ☒ 30 sheet(s) per set; ☐ 1 set informal; 8. ☒ formal of size: ☒ A4 ☐ 11"

9. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/118,379	29 JAN 1999	(2) 09/206,381	7 DEC 1998
(3) 09/026,466	19 FEB 1998	(4)	
(5)		(6)	

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

11.          (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date)           
in U.S. Application No.          /          filed on         

12. ☐ This is a reissue of Patent No.         

13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete corresponding item 14 or 15.)

14. ☐ **Amend the specification** by inserting before the first line -- This is a ☐ Continuation-in-Part  
☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:

14(a) ☐ National Appln. No.          /          filed          -- (M#         )

14(b) ☐ International Appln. No. PCT/         filed          which designated the U.S. --

15. ☒ **Amend the specification** by inserting before the first line: This application claims the benefit of U.S. Provisional Application No. 60/ 118,379, filed January 29, 1999. --

16. Extension to date: ☐ concurrently filed ☒ not needed ☐ previously filed

17. ☐ Prior application is assigned to

by Assignment recorded \_\_\_\_\_ Reel \_\_\_\_\_ Frame \_\_\_\_\_

18. ☐ Attached:

19. This application is made by the following named inventor(s)

(Double check instructions for accuracy.):

(1) Inventor	Allen		CLAXTON
	First	Middle Initial	Family Name
Residence	New York	NY	USA
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(include Zip Code)			

(2) Inventor	Yair		FRANKEL
	First	Middle Initial	Family Name
Residence	Westfield	NJ	USA
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(include Zip Code)			

(3) Inventor	Charles	T.	MONTGOMERY
	First	Middle Initial	Family Name
Residence	Saverna Park	MD	USA
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(include Zip Code)			

(4) Inventor	Stuart		STUBBLEBINE
	First	Middle Initial	Family Name
Residence	Lebanan	NJ	USA
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(include Zip Code)			

(5) Inventor	Moti		YUNG
	First	Middle Initial	Family Name
Residence	New York	NY	ISRAEL
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(include Zip Code)			

20. NOTE: FOR ADDITIONAL INVENTORS, check box ☒ and attach sheet with same information regarding additional inventors.

Pillsbury Madison & Sutro LLP  
Intellectual Property Group

1100 New York Avenue, NW.  
Ninth Floor  
Washington, DC 20005-3918  
Tel: (202) 861-3000  
Atty/Sec: BS/kim

By: Atty: Brian Sirtzky

Reg. No. 37497

Sig: [Signature]

Fax: (202) 822-0944  
Tel: (202) 861-3702

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

**REQUEST FOR FILING APPLICATION**  
**Under Rule 53(a), (b)(1) & (d)(1)**  
**(Continued : Additional Inventors)**

(6) Inventor	Richard		ANKNEY
	First	Middle Initial	Family Name
Residence	Chantilly	VA	USA
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(include Zip Code)			

(7) Inventor	Richard		SALZ
	First	Middle Initial	Family Name
Residence	Boston	MA	USA
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(include Zip Code)			

(8) Inventor	Thomas		TITCHENER
	First	Middle Initial	Family Name
Residence	Boston	MA	USA
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(include Zip Code)			

(9) Inventor	Peter		LIEBERWIRTH
	First	Middle Initial	Family Name
Residence	Boston	MA	USA
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(include Zip Code)			

(10) Inventor	Andrew		KONSTANTARAS
	First	Middle Initial	Family Name
Residence	Boston	MA	USA
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(include Zip Code)			

(11) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(include Zip Code)			

(12) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(include Zip Code)			